

## How to Make an NHS Complaint Guide

If you are a resident of West Sussex and need further help with your complaint, please feel free to contact the Healthwatch West Sussex Independent Health Complaints Advocacy Service (IHCAS).





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### Introduction

#### If you have been treated poorly by health services, then y**ou have a right to make a complaint**. In England, this right comes from the NHS (England) Complaint Regulations 2009.

If you feel you need practical support and assistance in making a complaint, then you are entitled to an advocate to help you. This service is **free and independent** of the NHS.

For residents of West Sussex, the advocacy service is delivered by Healthwatch West Sussex and is known as the Independent Health Complaints Advocacy Service (IHCAS). Contact details for IHCAS can be found on the front and at the end of this leaflet.

Healthwatch West Sussex is the consumer champion for health and social care issues for the whole of West Sussex. We have created this guide you and other people in making a health service complaint.

# What is covered by the complaint's regulations?

The NHS Complaints procedure applies to all services provided or funded by the NHS including GP's, hospitals, pharmacies, opticians, the ambulance service and any NHS social care (including nursing home or home-based care) that you might receive.

You can also use the NHS complaints procedure if your complaint covers both health and social care and to complain about NHS funded care or a home-based care package which is funded by the NHS (usually through Continuing Healthcare).

You cannot use the NHS complaints system for raising concerns/issues about:

- · Social care alone or other services provided by the council
- Privately funded health, nursing home or home-based care
- Personnel matters, such as getting staff disciplined
- Legal issues and claims for compensations
- Contractual matters and consultations about service charges

## Who can complain?

### You may complaint about your own care and treatment or a service failure that has affected you.

You can also complain on behalf of:

- Someone who has died
- A child or young person
- Someone who cannot complain for themselves because of: Physical incapacity Lack of capacity within the meaning of the Mental Capacity Act 2005 Someone who has asked you to do so provided they give their written consent.

# What can I get from my complaint?

When making a complaint, you may wish to think about what you want as an outcome and make this clear in your complaint letter.

Complaint outcomes you can ask for are:

- An explanation for what happened
- An apology
- · What improvements will be made to stop any poor care happening again
- Financial recompense for out of pocket expenses\*

\*If you think the service has been negligent in their treatment of you and as a result you are seeking damages then, firstly, you may wish to speak to a solicitor before making a complaint.

If you have home insurance, you may have legal cover for this.

NHS organisations have no obligation to pay damages as a result of a complaint and if you end up taking the complaint to the Parliamentary Health Service Ombudsman then any money they may award you may well be less than you get from a Court.

# **Accessing health records**

### Before making your complaint, you may wish to obtain a copy of your medical records.

Patient records include:

- GP, hospital and other NHS staff records
- Details of visits, treatments, medication, tests and their results, diagnosis and referrals.

Under the General Data Protection regulations (GDPR) (from 25 May 2018) and the Data Protection Act 2018, mean that in most cases, you as the patient must be given access to your medical records as a Subject Access Request (SAR) free of charge. This includes when a patient authorises access by a third party such as a solicitor or IHCAS.

A medical report/record that already exists will be accessible, for free as a SAR. A 'reasonable fee' can be charged for SAR if the request is manifestly unfounded or excessive however, these circumstances are likely to be rare.

# **The NHS Complaints Process**

### **Informal resolution**

Some issues, such as a concern about current care or treatment may be best resolved without making a formal complaint. You can do this by speaking to a member of staff, doctor or practice manager informally about your concerns. If your complaint involves a hospital, then their Patient Advice and Liaison Service (PALS) may be able to help you.

If you feel your problem has not been resolved, you can make a formal complaint.

# Making a formal complaint

### **Local resolution Part 1**

Your complaint can be made verbally or in writing to the service provider, i.e. the GP, dentist, hospital etc. that you wish to complain about **or** if you feel uncomfortable complaining directly to the service provider you can send the complaint to the commissioner of the service, this may be South East Complaints Team ICB Frimley for dentists and GP Surgeries, or your local integrated care board (ICB) NHS Sussex for Hospital services.

If you are unsure who the commissioner of your service is, you can contact NHS England:

Telephone: 0300 311 22 33 Email: england.contactus@nhs.net Post: NHS England, PO Box 16738, Redditch, B97 9PT

All complaints must be acknowledged within three working days.

You should then be contacted to discuss your complaint and to arrange a plan for it to be resolved. It is best practice for the person dealing with your complaint to speak to you to ask you what you wish to get out of the complaint.

Sometimes a complaint can be independently investigated. If you think the matter is serious enough to warrant this, then you should discuss this with the organisation you are complaining to. If your request for an independent investigation is refused you may wish to contact the Healthwatch West Sussex Independent Health Complaints Advocacy Service for assistance.

There is no time limit for a response to your complaint, other than what an organisation sets in their Complaints Policy and Procedures. Although after six months you should be told why you have not received a reply and a response should be sent to you as soon as possible.

### Local resolution - Part 2

If you are not satisfied with your complaint response, then you can ask for a meeting to discuss your outstanding concern(s). If you do not feel comfortable with a meeting you should respond in writing or orally with why you are not satisfied, and you should then receive a further written response.

There is no time limit for either a meeting to be set up or in receiving a further written response, other than what an organisation sets in their Complaints Policy and Procedures. If you feel you have waited an unreasonably long time for either, you can contact the **Ombudsman**.

### Parliamentary and Health Service Ombudsman

#### If you have had a meeting or a further written response, as detailed in Part 2 of the local resolution process, and you are still not satisfied you can then take your complaint to the Parliamentary and Health Service Ombudsman (PHSO).

In exceptional circumstances the PHSO may look at a matter before you have completed the local resolution stage. It is difficult to give an example of such a circumstance, but it may include where a Trust respond to a complaint saying that not giving speech and language therapy to a child is fine.

In this example pursuing the local complaints process may lead to permanent developmental problems. If you think this applies to your case, you may wish to talk to the PHSO before putting your complaint to them.

# **NHS Complaint Time Limits**

### If you want to be sure your complaint will be dealt with, then you need to be aware of the time limits that apply to all NHS complaints.

The NHS Regulations 2009 state that a complaint must be made no later than 12-months after:

- The date on which the event(s) of the complaint occurred
- When the individual became aware of the cause for complaint

However, if your complaint is made after the 12-month time limit, it may still be dealt with if:

- You have good reasons for not making a complaint within that time limit
- Despite the delay, it is possible for the complaint to be investigated fairly and effectively

The same time limits apply to the Parliamentary and Health Service Ombudsman (PHSO). The PHSO will expect you to exhaust the local resolution stage of the complaints process before you approach them.

The local resolution process is explained in a separate guide, that can be downloaded from our **website**.

It is therefore important that you try and exhaust the local stage of the complaints process within 12 months so that you can go to the PHSO within the legal time limits. The PHSO realises it can take a long time to exhaust the local process and does have the discretion to look at a complaint that has taken more than 12 months to get to them.

# Writing a Letter of Complaint

### Style

Do not feel you are bothering someone or making a fuss when writing a formal letter of complaint.

Lay out your concerns in a calm and polite manner, without using aggressive or accusatory language.

Keep your complaint letter clear and concise.

### Content

Begin your letter by explaining the series of events that have led to your letter of complaint.

Consider putting the key complaint(s) into bullet points, in order of importance.

If the complaint is the result of an ongoing series of events, you can also add a timeline of events.

After you have laid out your complaint, state the outcome(s) you would like to achieve as a result of your complaint i.e. an apology, service improvement, rescheduled appointment or financial compensation.

### **Documents**

Keep all original letters that you have sent and have received regarding your complaint.

File them in date order.

If you wish to include documents in your complaint, send copies of the documents, not the originals.

Note: if you are making a complaint on someone else's behalf, make it evident in the letter that you have the individual's permission to make a complaint.



You may wish to send your complaint letter by recorded delivery or via email.

## **Complaint Letter Template**

[Insert your name and address here]

#### [Complaints Manager]

[Followed by name and address of organisation - GP/Dental Surgery/Hospital Trust or commissioner of service such as NHS England]

[Insert date here]

Dear Sir/Madam

RE: [NHS Complaint] [Name of complainant] [Postal address] [D.O.B] (if you are complaining on behalf of someone else put in their details).

Please treat this as a letter of complaint regarding my / the above patient's treatment from [Insert GP/Doctor/Trust etc] or [Briefly explain why you are making the complaint on someone else's behalf i.e. you are their carer/relative, the person is too young, deceased, lack capacity to complain without assistance.]

#### Background

Clearly explain the background of your complaint, when writing your background, you should bear in mind the four W's:

What happened? When? Where? Who was involved?

Make sure to include dates of when each incident occurred. If the complaint is complex, then you may wish to write a timeline of events on a separate page and attach it to the letter.

#### Complaint

I would like the following points of complaint addressed: -

[Put your points of complaint in bullet points or numbers, ensure the points are clear and list the concerns in order of importance. Keep the points as brief as you think is reasonable]

#### What I would like

In this section you will list what outcomes you would like as a result of this letter i.e.: "As an outcome to my complaint, I would like the following..."

[This could be an apology, an explanation for what happened, what steps will be taken so, it doesn't happen again, compensation\*].

Yours faithfully [Name of complainant] [Insert contact number]

### **Sample Complaint Letter**

Joe Bloggs 123 Alphabet Close Crowley RH12 7HY

Prince's General Hospital Bighurst Sandwich BN4 4PF

1 October 2023

Dear Sir/Madam

Please treat this as a letter of complaint regarding my treatment from Dr Harvey Milk and my cancelled appointments at the Prince's General Hospital.

#### Background

On 7th June 2016 I attended Green Fields Medical Centre for a regular appointment, as I have been experiencing persistent back pain after an injury at work that occurred on 10th October 2015. I booked an appointment with my GP, Dr Smith, for 15th June 2016 and he prescribed me a course of strong painkillers, but this did not help. I went back to my GP and explained this to him and as a result I was referred to the pain clinic at Prince's General Hospital, as I was informed that I may be suffering from chronic back pain.

My appointment was scheduled with Dr Milk at the pain clinic on 10th August 2016 at 10am. I arrived at the clinic 30 minutes early for the appointment only to wait for three hours. A receptionist then approached me and said that my appointment was cancelled but gave no explanation as to why. I asked if they could reschedule another appointment but was simply told to go back to my GP.

My GP kindly rearranged another appointment for 3rd September 2016 at 2pm, which I attended but had to wait two hours to be seen by Dr Milk. When I was finally called in for the appointment Dr Milk barely examined my back, glanced at my medical records, informed me my condition was not serious and told me I did not need to be referred to a pain clinic and that my GP should prescribe me stronger painkillers. Even though I tried to explain to Dr Milk that I was already taking very strong painkillers he did not listen and just told me to go back to my GP.

#### Complaint

I would like the following points addressed in response to this complaint:

Why did Dr Milk dismiss my chronic back pain as just needing stronger painkillers when I explained to him that I was already prescribed very strong painkillers by my GP? If Dr Milk had a copy of my medical records, then was it appropriate for my back pain to be dismissed as not being serious? Why was I made to wait three hours for my first appointment at the pain clinic on 10th August 2016, only to be told that my appointment was cancelled with no further information given?

#### What I Would Like

As a result of this complaint I would like an apology from Dr Milk and an explanation for my bad experiences. I would also like to have another appointment rescheduled at the pain clinic with a different consultant who will prescribe the appropriate treatment for my long-term back pain.

Yours faithfully Mr Joe Bloggs 01632 000000

# Where to send your complaint?

If you are complaining about a dentist or pharmacy or GP Surgery, you can complain to the provider of the service or the commissioner of the service.

The commissioner in these cases is:

Post: South East Complaints Hub, NHS Frimley ICB, Aldershot Centre for Health, Hospital Hill, Aldershot, Hampshire, GUII 1AY.

Call: 0300 561 0290 (Monday to Friday 9.00am to 4.30pm)

Email: frimleyicb.southeastcomplaints@nhs.net

Below is a list of Hospital Trusts that provide health services in West Sussex and the contact details for making a complaint. If your complaint is about a hospital you can also complain to your local NHS Sussex (your local commissioners) who commissions hospital services.

The local NHS Sussex contact details are found below this table.

NHS Trusts	Address, telephone and email
University Hospitals Sussex NHS Foundation Trust Complaints for Worthing Hospital, Royal Alexandra Children's Hospital, Princess Royal Hospital, Royal Sussex County Hospital, St Richard's Hospital, Sussex Eye Hospital and Southlands Hospital.	The Chief Executive, Trust Headquarters, University Hospitals Sussex NHS Foundation Trust, Worthing Hospital Lyndhurst Road, Worthing, West Sussex BN11 2DH uhsussex.patient.experience@nhs.net
Queen Victoria Hospital NHS Foundation Trust	Complaints Manager, Queen Victoria Hospital, Holtye Road, East Grinstead West Sussex RH19 3DZ 01342 414000 qvh.pals@nhs.net

Surrey and Sussex Healthcare NHS Trust For complaints about Crawley Hospital, Horsham Hospital and East Surrey Hospital	Complaints Team, Surrey and Sussex Healthcare NHS Trust, East Surrey Hospital, Canada Avenue Redhill, Surrey RHI 5RH 01737 768511 (extension 6825 during office hours) sash.ComplaintsTeam@nhs.net
Sussex Partnership NHS Foundation Trust For complaints about adult mental health, learning disabilities, neurodevelopmental services and child and adolescent mental health services (CAMHS)	Complaints Team, Swandean, Arundel Road Worthing West Sussex BN13 3EP 0300 304 2198 pals@spft.nhs.uk
Sussex Community NHS Foundation Trust For complaints about community hospitals, Rehabilitation, Children's services and Bognor Regis War Memorial Hospital	PALS, B Block, Brighton General Hospital, Elm Grove, Brighton BN2 3EW 01273 242292 sc-tr.PALS@nhs.net
South East Coast Ambulance Service NHS Foundation Trust	Patient Experience Team, South East Coast Ambulance Service NHS Trust, Nexus House, 4 Gatwick Road Crawley, West Sussex RH10 9BG 0300 1239 242 pet.secamb@nhs.net
Sussex Health and Care Integrated Care System (ICS) NHS Sussex If you would like to make a complaint to NHS Sussex (your local commissioners)	NHS Sussex, Wicker House, High Street, Worthing BN11 1DJ 0800 433 4545 sxicb.complaints@nhs.net

### **Contact Details**

If you are a resident of West Sussex and need further help with your complaint, please feel free to contact the Healthwatch West Sussex Independent Health Complaints Advocacy Service (IHCAS):

Call: 0300 012 0122 Email: ihcas@healthwatchwestsussex.co.uk Website: www.healthwatchwestsussex.co.uk



Further help, including downloadable letters can be found at by visiting our website www.healthwatchwestsussex.co.uk

Healthwatch West Sussex works with Help & Care to provide its statutory activities.



- w: healthwatchwestsussex.co.uk
- t: 0300 012 0122
- f healthwatchwestsussex
- X healthwatchws
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